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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DESIGNATED/ELECTED OFFICE

RECEIVED

In re Application of:

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MAR 20 2002

Nigel C. Phillips and Mario C. Filion

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TECH CENTER 1600/2000

Serial No.: **09/937,643**

)

Art Unit: **Not yet assigned**

Filed: **September 27, 2001**

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Examiner: **Not yet assigned**

(National Phase of PCT/CA00/00342, filed 30 March 2000)

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For: **COMPOSITION AND METHOD FOR
INDUCING APOPTOSIS IN PROSTATE
CANCER CELLS**

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PRELIMINARY AMENDMENT

Box PCT
Assistant Commissioner for Patents
Washington, DC 20231

Attn: DO/EO/US

Sir:

Prior to examination of the present application, please enter the following amendments to the claims.

Express Mail Label No.: EV041605616US

Date of Deposit: 19 March 2002

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev. 11-2000)		Attorney's Docket Number 02811-0161US (42368/263786)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
International Application No. PCT/CA00/00342	International Filing Date 30 March 2000	Priority Date Claimed 01 April 1999
Title of Invention		
COMPOSITION AND METHOD FOR INDUCING APOPTOSIS IN PROSTATE CANCER CELLS		
Applicant(s) for DO/EO/US Nigel C. Phillips and Mario C. Filion		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). This submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
<p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input checked="" type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information: 		
Express Mail Label No. EV041605616US		Date: 19 March 2002
Page 1 of 2		

#8/FEES

U.S. Application No. (if known, see 37 CFR 1.5)	International Application No. PCT/CA00/00342	Attorney's Docket Number 02811-0161US																																																																													
21. <input checked="" type="checkbox"/> The following fees are submitted:		<u>CALCULATIONS PTO USE ONLY</u>																																																																													
<p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..\$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00</p>																																																																															
<p>ENTER APPROPRIATE BASIC FEE AMOUNT = \$</p> <table border="1"> <tr> <td colspan="2">Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td>\$</td> </tr> <tr> <td>Claims</td> <td>Number Filed</td> <td>Number Extra</td> <td>Rate</td> </tr> <tr> <td>Total claims</td> <td>34-20 =</td> <td>14</td> <td>x 18.00</td> <td>\$252.00</td> </tr> <tr> <td>Independent Claims</td> <td>3-3=</td> <td>0</td> <td>x 80.00</td> <td>\$</td> </tr> <tr> <td colspan="2">Multiple Dependent Claims (if applicable)</td> <td>+ 270.00</td> <td>\$</td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$252.00</td> <td></td> </tr> <tr> <td colspan="2"><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td> <td>\$126.00</td> <td></td> </tr> <tr> <td colspan="2">SUBTOTAL =</td> <td>\$126.00</td> <td></td> </tr> <tr> <td colspan="2">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="2">TOTAL NATIONAL FEE =</td> <td>\$126.00</td> <td></td> </tr> <tr> <td colspan="2">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="2">TOTAL FEES ENCLOSED =</td> <td>\$126.00</td> <td></td> </tr> <tr> <td>03/25/2002 MNGUYEN 00000078 09937643</td> <td>126.00 OP</td> <td>Amount to be refunded: charged:</td> <td>\$</td> </tr> <tr> <td>01 FC:967</td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="4"> a. <input checked="" type="checkbox"/> A check in the amount of \$126.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 11-0855 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 11-0855. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. </td> </tr> <tr> <td colspan="4"> NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. </td> </tr> <tr> <td colspan="4"> SEND ALL CORRESPONDENCE TO: John S. Pratt, Esq. Kilpatrick Stockton LLP 1100 Peachtree Street, Suite 2800 Atlanta, Georgia 30309-4530 Telephone: 404-815-6500 </td> </tr> <tr> <td colspan="4">  John K. McDonald, Reg. No. 42,860 </td> </tr> <tr> <td colspan="4"> FORM PTO-1390 (Rev. 1-98) adapted </td> </tr> </table>			Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	Claims	Number Filed	Number Extra	Rate	Total claims	34-20 =	14	x 18.00	\$252.00	Independent Claims	3-3=	0	x 80.00	\$	Multiple Dependent Claims (if applicable)		+ 270.00	\$	TOTAL OF ABOVE CALCULATIONS =		\$252.00		<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$126.00		SUBTOTAL =		\$126.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$		TOTAL NATIONAL FEE =		\$126.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). 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